

Choices of HKR isomorphisms

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Abstract

In this short note we record the fact that the set of multiplicative HKR natural equivalences defined simultaneously for all derived schemes, functorially splitting the HKR-filtration and rendering the circle action compatible with the de Rham differential, is, via Cartier duality, in a natural bijection with the set of filtered formal exponential maps $\widehat{\mathbb{G}}_a \rightarrow \widehat{\mathbb{G}}_m$. In particular, when the base k is a field of characteristic zero, the set of choices is k^* .

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1 Introduction

Let k be a commutative ring. The Hochschild-Kostant-Rosenberg (HKR) theorem [HKR62] establishes for any smooth k -scheme $X = \mathrm{Spec}(R)$ an identification of the Hochschild homology groups $\mathrm{HH}_i(R/k) := \mathrm{Tor}_{R \otimes_k R}^i(R, R)$ with the modules of i -differential forms $\Omega_{X/k}^i$, given by the anti-symmetrization map

$$\Omega_{R/k}^i \rightarrow \mathrm{HH}_i(R/k) \quad r_0 \cdot dr_1 \wedge \cdots \wedge dr_i \mapsto \sum_{\sigma \in \Sigma_i} (-1)^{\mathrm{sign}(\sigma)} [r_0 \otimes r_{\sigma(1)} \otimes \cdots \otimes r_{\sigma(i)}]$$

The groups $\mathrm{HH}_i(R/k)$ are actually defined for every derived k -algebra $R \in \mathrm{SCR}_k^{(*)}$ as the homology groups of the derived tensor product of k -algebras $\mathrm{HH}(R/k) := R \underset{k}{\otimes} R$

where R is seen as an $R \underset{k}{\otimes} R$ -algebra using the multiplication map $R \underset{k}{\otimes} R \rightarrow R$. In particular, this shows that $\mathrm{HH}(R/k)$ carries the structure of an object in SCR_k . Also, for a general $R \in \mathrm{SCR}_k$ we replace $\Omega_{R/k}^1$ by the *cotangent complex* $\mathbb{L}_{R/k}$ and independently of the characteristic of k , we have the HKR filtration on $\mathrm{HH}(R/k)$

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(*)See [Lu-SAG:§25.1.1]

that has $(\Lambda^i \mathbb{L}_{R/k})[i]$ as associated graded piece of weight i (see [NS18: IV. 4.1]). When k is a field with $\text{char}(k) = 0$, the anti-symmetrization map induces a splitting of the HKR filtration and gives a k -linear quasi-isomorphism [Lod92:Prop. 1.3.16, Remark 3.2.3, Prop. 5.4.6]

$$\text{HH}(R/k) \xrightarrow{\sim} \bigoplus_{i=1}^n (\Lambda^i \mathbb{L}_{R/k})[i] \quad (1)$$

Derived geometry [TV11; BZN12] offers another perspective: since in SCR_k , derived tensor products are pushouts, we find an equivalence in SCR_k , $\text{HH}(R/k) \simeq R \otimes_k^{\mathbb{L}} \mathbb{S}^1$ that presents $\text{HH}(R/k)$ as the derived ring of functions \mathcal{O}_{LX} on the loop derived loop scheme $\text{LX} := \mathbb{R}\text{Map}(\mathbb{S}^1, X)$. Similarly, derived geometry offers a geometric incarnation for $\bigoplus_{i=1}^n (\Lambda^i \mathbb{L}_{R/k})[i]$ as the derived ring of functions of the shifted tangent bundle $\text{T}[-1]X = \text{Spec}(\text{Sym}^\Delta(\mathbb{L}_{X/k}[1]))$ with the \mathbb{G}_m -action corresponding to the natural grading. When k is a \mathbb{Q} -algebra, for any affine derived scheme X , the results of [TV11; BZN12] provide an isomorphism of derived schemes functorial in X

$$\text{T}[-1]X \xrightarrow{\sim} \text{LX} \quad (2)$$

that recovers a quasi-isomorphism of the type (1) after passing to global functions. However, it is unclear if the equivalence obtained through derived geometry coincides with the anti-symmetrization map of (1).

Remark 1.1. When $\text{char}(k) = 0$, Kapranov [Kap99] explains another way to produce HKR isomorphisms (1) by considering smooth schemes X with a torsion-free flat connection ∇ on their tangent bundle. In this case the connection provides a formal exponential $\exp^\nabla : \widehat{\text{T}X} \simeq \widehat{\Delta}$ establishing an isomorphism between the formal completion of $X \times X$ along the diagonal and the formal completion of $\text{T}X$ along the zero section. Passing to the self-intersections with X , we obtain another equivalence of derived schemes of the type (2).

2 The space of functorial HKR isomorphisms

The goal of this note is prove the [Corollary 3.2](#) below, describing the collection of HKR isomorphisms (1). We see though that without further assumptions, this space can be significantly large: the [Remark 1.1](#) shows that every torsion-free connection on a scheme X provides one, and the space of connections is affine. But clearly, connection-induced HKR isomorphisms are not functorial unless the maps preserve the connection. Therefore we will only consider HKR isomorphisms that:

- (i) are defined as part of a natural equivalence of ∞ -functors on the ∞ -category of derived schemes

$$\text{T}[-1](-) \xrightarrow{\sim} \text{L}(-) \quad (3)$$

- (ii) the natural equivalence defines functorial splittings of the HKR-filtration;
- (iii) functorially match the circle action on loop spaces with the de Rham differential on forms.

Derived algebraic geometry again helps understanding these properties: using the formalism of affine stacks [Toe06], it is shown in the combined results of [MRT22; Toë20; Mou21] that over any commutative ring k there exists a flat affine filtered abelian group stack (underived)

$$\mathbf{S}_{\text{Fil}}^1 \rightarrow [\mathbb{A}_k^1/\mathbb{G}_{m,k}]$$

which we call the filtered circle, and such that for any derived scheme X , the relative derived mapping stack

$$\underline{\mathbb{R}\text{Map}}_{[\mathbb{A}_k^1/\mathbb{G}_{m,k}]}(\mathbf{S}_{\text{Fil}}^1, X \times [\mathbb{A}_k^1/\mathbb{G}_{m,k}]) \rightarrow [\mathbb{A}_k^1/\mathbb{G}_{m,k}]$$

provides the HKR-filtration on the derived loop space $\mathbf{L}X$, with associated graded stack given by $(\mathbf{T}[-1]X)/\mathbb{G}_{m,k} \rightarrow \mathbf{B}\mathbb{G}_{m,k}$. More precisely, it describes the HKR-filtration with its multiplicative structure as the universal filtered algebra with an action of the filtered circle. As a consequence, asking for HKR-isomorphisms respecting (i)-(iii), is to ask for *splittings* of the filtered circle compatible with the group structure:

Construction 2.1. Let $q : [\mathbb{A}_k^1/\mathbb{G}_{m,k}] \rightarrow \mathbf{B}\mathbb{G}_{m,k}$ be the map induced by the projection $\mathbb{A}_k^1 \rightarrow \text{Spec}(k)$ and let Y be a stack endowed with a \mathbb{G}_m -action. Take $Z = [Y/\mathbb{G}_m] \rightarrow \mathbf{B}\mathbb{G}_{m,k}$. We define the associated *split* filtered stack $Z^{\text{split}} \rightarrow [\mathbb{A}_k^1/\mathbb{G}_{m,k}]$ to be the pullback

$$\begin{array}{ccc} Z^{\text{split}} & \longrightarrow & Z \\ \downarrow & & \downarrow \\ [\mathbb{A}_k^1/\mathbb{G}_{m,k}] & \longrightarrow & \mathbf{B}\mathbb{G}_{m,k} \end{array}$$

By construction, it is equivalent to the quotient stack $[Y \times \mathbb{A}_k^1/\mathbb{G}_m]$ where we let \mathbb{G}_m act on the product coordinate-wise. The associated graded stack $(Z^{\text{split}})^{\text{gr}}$ is canonically equivalent to Z because q is a right inverse to the inclusion $0 : \mathbf{B}\mathbb{G}_{m,k} \rightarrow [\mathbb{A}_k^1/\mathbb{G}_{m,k}]$. Finally, when $S \rightarrow [\mathbb{A}_k^1/\mathbb{G}_{m,k}]$ is a filtered stack, we denote by $S^{\text{triv}} := (S^{\text{gr}})^{\text{split}}$ the associated split filtered stack where S^{gr} is the pullback of S along the inclusion $\mathbf{B}\mathbb{G}_{m,k} \rightarrow [\mathbb{A}_k^1/\mathbb{G}_{m,k}]$.

Since the [Construction 2.1](#) is monoidal with respect to cartesian products, $(\mathbf{S}_{\text{Fil}}^1)^{\text{triv}}$ is still a group. We finally narrow down the choices of HKR-isomorphisms:

Definition 2.2. The space of HKR-isomorphisms compatible with (i)-(iii) is the space of invertible maps of group (higher) stacks

$$\mathrm{Map}_{\mathrm{group}, [\mathbb{A}_k^1/\mathbb{G}_{m,k}]}^{\mathrm{inv}}(\mathbb{S}_{\mathrm{Fil}}^1, (\mathbb{S}_{\mathrm{Fil}}^1)^{\mathrm{triv}})$$

ie, universal splittings of the HKR filtration compatible with the action of the filtered circle.

Remark 2.3. Given a splitting $\mathbb{S}_{\mathrm{Fil}}^1 \simeq (\mathbb{S}_{\mathrm{Fil}}^1)^{\mathrm{triv}}$ as in [Definition 2.2](#) we obtain the associated HKR-natural transformation (3) by pre-composition with the relative derived mapping spaces over $[\mathbb{A}_k^1/\mathbb{G}_{m,k}]$

$$\underline{\mathrm{RMap}}_{[\mathbb{A}_k^1/\mathbb{G}_{m,k}]}((\mathbb{S}_{\mathrm{Fil}}^1)^{\mathrm{triv}}, X \times [\mathbb{A}_k^1/\mathbb{G}_{m,k}]) \xrightarrow{\sim} \underline{\mathrm{RMap}}_{[\mathbb{A}_k^1/\mathbb{G}_{m,k}]}(\mathbb{S}_{\mathrm{Fil}}^1, X \times [\mathbb{A}_k^1/\mathbb{G}_{m,k}])$$

and extracting the fibers over $1 : \mathrm{Spec}(k) = [\mathbb{G}_{m,k}/\mathbb{G}_{m,k}] \rightarrow [\mathbb{A}_k^1/\mathbb{G}_{m,k}]$.

3 Computation

We are interested in computing π_0 of the space in [Definition 2.2](#). Thanks to [\[Mou21:Theorem 1.7\]](#) we have an explicit formula for the filtered group circle in terms of the relative Cartier dual of the relative formal group scheme over $[\mathbb{A}_k^1/\mathbb{G}_{m,k}]$ given by the total space $\mathrm{Def} \rightarrow [\mathbb{A}_k^1/\mathbb{G}_{m,k}]$ of the deformation to the normal bundle at the unit, from the formal group $\widehat{\mathbb{G}_{m,k}}$ to its lie algebra $\widehat{\mathbb{G}_{a,k}}$

$$\mathbb{S}_{\mathrm{Fil}}^1 \simeq \mathbb{B}_{[\mathbb{A}_k^1/\mathbb{G}_{m,k}]}(\mathrm{Def}^\vee)$$

Here, Cartier duality is given by the $[\mathbb{A}_k^1/\mathbb{G}_{m,k}]$ -relative construction of [\[Haz12: 37.3.4\]](#):

$$(-)^\vee := \mathrm{Hom}_{\mathrm{FG}}(-, \widehat{\mathbb{G}_{m,k}})$$

(the hom is taken inside classical formal group schemes, not as derived schemes) and $\widehat{\mathbb{G}_{m,k}}$ is the multiplicative formal group. Since the construction of Cartier duality is the relative one, we can freely interchange

$$(\mathrm{Def}^\vee)^{\mathrm{triv}} \simeq (\mathrm{Def}^{\mathrm{triv}})^\vee$$

$$\mathbb{B}_{[\mathbb{A}_k^1/\mathbb{G}_{m,k}]}(\mathrm{Def}^\vee)^{\mathrm{triv}} \simeq \mathbb{B}_{[\mathbb{A}_k^1/\mathbb{G}_{m,k}]}((\mathrm{Def}^{\mathrm{triv}})^\vee)$$

As a consequence, the space of HKR-isomorphisms of [Definition 2.2](#) is equivalent to

$$\mathrm{Map}_{\mathrm{group}, [\mathbb{A}_k^1/\mathbb{G}_{m,k}]}^{\mathrm{inv}}(\mathbb{B}_{[\mathbb{A}_k^1/\mathbb{G}_{m,k}]}(\mathrm{Def}^\vee), \mathbb{B}_{[\mathbb{A}_k^1/\mathbb{G}_{m,k}]}((\mathrm{Def}^{\mathrm{triv}})^\vee))$$

Since all group stacks being used are abelian, the Eckmann–Hilton delooping at the unit provides a map

$$\begin{array}{c} \mathrm{Map}_{\mathrm{group}, [\mathbb{A}_k^1/\mathbb{G}_{m,k}]}^{\mathrm{inv}} \left(\mathbb{B}_{[\mathbb{A}_k^1/\mathbb{G}_{m,k}]}(\mathrm{Def}^\vee), \mathbb{B}_{[\mathbb{A}_k^1/\mathbb{G}_{m,k}]}((\mathrm{Def}^{\mathrm{triv}})^\vee) \right) \\ \downarrow \Omega_* \\ \mathrm{Map}_{\mathrm{group}, [\mathbb{A}_k^1/\mathbb{G}_{m,k}]}^{\mathrm{inv}} \left(\mathrm{Def}^\vee, (\mathrm{Def}^{\mathrm{triv}})^\vee \right) \end{array}$$

which induces an isomorphism of π_0 with inverse given by the \mathbb{B} -construction.

Finally, we consider the map induced by the functor of Cartier duality

$$\begin{array}{c} \mathrm{Map}_{\mathrm{group}, [\mathbb{A}_k^1/\mathbb{G}_{m,k}]}^{\mathrm{inv}} \left(\mathrm{Def}^\vee, (\mathrm{Def}^{\mathrm{triv}})^\vee \right) \\ \uparrow (-)^\vee \\ \mathrm{Map}_{\mathrm{FGr}, [\mathbb{A}_k^1/\mathbb{G}_{m,k}]}^{\mathrm{inv}} \left(\mathrm{Def}^{\mathrm{triv}}, \mathrm{Def} \right) \end{array} \quad (4)$$

which is an equivalence, thanks to the fully faithfulness of Cartier duality [Mou21:Const 3.6, Prop 3.12, Const 3.16, Prop. 3.17]. Here, FGr denotes the category of relative smooth formal groups. Notice that, independently of $\mathrm{char}(\mathbb{k})$, both mapping spaces in the last formula are discrete. Moreover, thanks to [Hen17:1.4.2 and 1.4.5] we can either see the last mapping space as maps of prestacks or as continuous maps.

Since $\mathrm{Def} \rightarrow [\mathbb{A}_k^1/\mathbb{G}_{m,k}]$ is a smooth formal group relative to $[\mathbb{A}_k^1/\mathbb{G}_{m,k}]$, we can identify the trivial filtration $\mathrm{Def}^{\mathrm{triv}} \rightarrow [\mathbb{A}_k^1/\mathbb{G}_{m,k}]$ with the affine linear formal group associated to its relative Lie algebra. In particular, following [Construction 2.1](#), it is given by the constant family over $[\mathbb{A}_k^1/\mathbb{G}_{m,k}]$

$$\mathrm{Def}^{\mathrm{triv}} \simeq [(\widehat{\mathbb{G}}_{a,k} \times \mathbb{A}_k^1)/\mathbb{G}_{m,k}]$$

and the set of functorial HKR-isomorphisms is given the set of filtered formal exponentials

$$\mathrm{Map}_{\mathrm{FGr}, [\mathbb{A}_k^1/\mathbb{G}_{m,k}]}^{\mathrm{inv}} \left([(\widehat{\mathbb{G}}_{a,k} \times \mathbb{A}_k^1)/\mathbb{G}_{m,k}], \mathrm{Def} \right)$$

Remark 3.1. By extracting the underlying groups of the filtration (ie, the fibers over 1 in $[\mathbb{A}_k^1/\mathbb{G}_{m,k}]$) we find a map

$$\mathrm{Map}_{\mathrm{FGr}, [\mathbb{A}_k^1/\mathbb{G}_{m,k}]}^{\mathrm{inv}} \left([(\widehat{\mathbb{G}}_{a,k} \times \mathbb{A}_k^1)/\mathbb{G}_{m,k}], \mathrm{Def} \right) \rightarrow \mathrm{Map}_{\mathrm{FGr}}^{\mathrm{inv}} \left(\widehat{\mathbb{G}}_{a,k}, \widehat{\mathbb{G}}_{m,k} \right) \quad (5)$$

By height reasons, since $\widehat{\mathbb{G}}_{a,k}$ is of height ∞ and $\widehat{\mathbb{G}}_{m,k}$ is of height 1, the target of (5) is empty when \mathbb{k} is of $\mathrm{char}(p) > 0$. Therefore, so is the source of (5).

Finally, when $\mathrm{char}(\mathbb{k}) = 0$, the relative exponential map (see for instance [Dem:Exposé VIIB - §3] or [GR17:Chapter 7, Cor. 3.2.2]) defines an isomorphism of filtered formal group schemes

$$[(\widehat{\mathbb{G}}_{\mathbf{a}\mathbf{k}} \times \mathbb{A}_{\mathbf{k}}^1)/\mathbb{G}_{\mathbf{m}\mathbf{k}}] \xrightarrow[\sim]{\exp_{\text{rel}}} \text{Def}$$

Composition with \exp_{rel} defines a bijection

$$\begin{aligned} & \text{Map}_{\text{FGr}, [\mathbb{A}_{\mathbf{k}}^1/\mathbb{G}_{\mathbf{m}\mathbf{k}}]}^{\text{inv}} \left([(\widehat{\mathbb{G}}_{\mathbf{a}\mathbf{k}} \times \mathbb{A}_{\mathbf{k}}^1)/\mathbb{G}_{\mathbf{m}\mathbf{k}}], \text{Def} \right) \\ & \quad \sim \uparrow \exp_{\text{rel}} \circ - \\ & \text{Map}_{\text{FGr}, [\mathbb{A}_{\mathbf{k}}^1/\mathbb{G}_{\mathbf{m}\mathbf{k}}]}^{\text{inv}} \left([(\widehat{\mathbb{G}}_{\mathbf{a}\mathbf{k}} \times \mathbb{A}_{\mathbf{k}}^1)/\mathbb{G}_{\mathbf{m}\mathbf{k}}], [(\widehat{\mathbb{G}}_{\mathbf{a}\mathbf{k}} \times \mathbb{A}_{\mathbf{k}}^1)/\mathbb{G}_{\mathbf{m}\mathbf{k}}] \right) \end{aligned} \quad (6)$$

Let us compute the last space: since $\text{char}(\mathbf{k}) = 0$, the category of formal groups relative to $[\mathbb{A}_{\mathbf{k}}^1/\mathbb{G}_{\mathbf{m}\mathbf{k}}]$ is equivalent to the category of Lie algebra objects in $\text{QCoh}([\mathbb{A}_{\mathbf{k}}^1/\mathbb{G}_{\mathbf{m}\mathbf{k}}])$ [GR17:Chapter 7]. The Lie algebra associated to $[(\widehat{\mathbb{G}}_{\mathbf{a}\mathbf{k}} \times \mathbb{A}_{\mathbf{k}}^1)/\mathbb{G}_{\mathbf{m}\mathbf{k}}]$ is the structure sheaf $\mathcal{O}_{[\mathbb{A}_{\mathbf{k}}^1/\mathbb{G}_{\mathbf{m}\mathbf{k}}]}(1)$ with the weight-(-1) action of $\mathbb{G}_{\mathbf{m}\mathbf{k}}$ (see [Mou21:§5]), endowed with the abelian Lie bracket. Since $\text{QCoh}([\mathbb{A}_{\mathbf{k}}^1/\mathbb{G}_{\mathbf{m}\mathbf{k}}])$ is symmetric monoidal equivalent to filtered \mathbf{k} -modules $\text{Fil}(\text{Mod}_{\mathbf{k}})$ [Mou19], $\mathcal{O}_{[\mathbb{A}_{\mathbf{k}}^1/\mathbb{G}_{\mathbf{m}\mathbf{k}}]}(1)$ corresponds to the abelian Lie algebra given by $\mathbf{k}(1)$. It follows that

$$\begin{aligned} & \text{Map}_{\text{FGr}, [\mathbb{A}_{\mathbf{k}}^1/\mathbb{G}_{\mathbf{m}\mathbf{k}}]}^{\text{inv}} \left([(\widehat{\mathbb{G}}_{\mathbf{a}\mathbf{k}} \times \mathbb{A}_{\mathbf{k}}^1)/\mathbb{G}_{\mathbf{m}\mathbf{k}}], [(\widehat{\mathbb{G}}_{\mathbf{a}\mathbf{k}} \times \mathbb{A}_{\mathbf{k}}^1)/\mathbb{G}_{\mathbf{m}\mathbf{k}}] \right) \\ & \quad \sim \uparrow \\ & \pi_0 \text{Map}_{\text{Lie}, \text{Fil}(\text{Mod}_{\mathbf{k}})}^{\text{inv}} (\mathbf{k}(1), \mathbf{k}(1)) = \mathbf{k}^* \end{aligned}$$

In particular, the map (6) sends $\lambda \in \mathbf{k}^*$ to $\exp(\lambda \cdot (-))$. In summary:

Corollary 3.2. *Let \mathbf{k} be a field. Then, the set of functorial multiplicative HKR equivalences simultaneously defined for all derived \mathbf{k} -schemes, splitting the HKR-filtration and matching the circle action to the de Rham differential, is the set of exponential maps, $\text{Hom}_{\text{FGr}}(\widehat{\mathbb{G}}_{\mathbf{a}\mathbf{k}}, \widehat{\mathbb{G}}_{\mathbf{m}\mathbf{k}}) \simeq \mathbf{k}^*$ if $\text{char}(\mathbf{k}) = 0$, and empty otherwise.*

Remark 3.3. The computations in this section describe the *group splittings* of the filtered circle as exponentials. The results of [Mou22] show that even in characteristic zero, the filtered circle does not admit splittings as a *pointed cogroup* with co-multiplication given by the pinch map. The universal obstruction is the Todd class. Recall that the splitting principle for algebraic K-theory implies that the collection of Chern characters from K-theory to de Rham cohomology coincides with the collection of exponential maps - see [TV15:Lemma 5.5]. In summary, the existence of group splittings of $\mathbf{S}_{\text{Fil}}^1$ allows the Chern characters to exist, and the fact that none of those are cogroup splittings, imposes the Grothendieck-Riemann-Roch theorem.

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